J. J. W.

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Total Number of Pages in This Submission

		1 10/06/27 (04-04)
Application Number	10/737,195	
Filing Date	December 15, 2003	
First Named Inventor	WANG, YULUN	
Art Unit	3739	
Examiner Name	Unassigned	
Attorney Docket Number	022001-000843US	

		ENGLOSUPES		
		ENCLOSURES (Check all that apply		
Fee Trans	smittal Form	Drawing(s)		ance Communication ogy Center (TC)
☐ F	ee Attached	Licensing-related Papers		mmunication to Board and Interferences
Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks The Commissioner is authorized Account 20-1430.	(Appeal Not	osure(s) (please ow): FO/SB/08B pies
	esponse to Missing Parts der 37 CFR 1.52 or 1.53			
	SIGN	ATURE OF APPLICANT, ATTORNEY, O	R AGENT	
Firm	Townsend and Town	nsend and Crew LLP		·
or Individual name	Mark D. Barrish	Reg. No. 3	36,443	
Signature	1/hul 3	61		
Date	September 17, 200	4		
	-	CERTIFICATE OF TRANSMISSION/MAI	LING	
		SENTIFICATE OF TRANSMISSION/MAI	LING	
		peing deposited with the United States Postal Service atents, P.O. Box 1450, Alexandria, VA 22313-1450		
Typed or printed (name Tiffany Wu			
Signature	25)	Date	September <u>1/0</u> , 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 022001-000843US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Client Reference No.: P019C

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

YULUN WANG ET AL.

Application No.: 10/737,195

Filed: December 15, 2003

For: A MEDICAL ROBOTIC ARM THAT IS ATTACHED TO AN

OPERATING TABLE

Examiner: Unassigned

Art Unit: 3739

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references, except BG, BH, FJ, FN, FS, FT, FV, FY, FZ, GF and GG, can be found in Application No. 08/873,190, filed June 11, 1997 (Attorney Docket No. 022001-000841US). References BG, BH, FJ, FN, FS, FT, FV, FY, FZ, GF and GG are not enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

YULUN WANG ET AL. Application No.: 10/737,195

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

MDB:ewm 60310137 v1

INFORMATION DISCLOSUR

Substitute for form 1449A/PTO

Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of 8

Complete if Known							
Application Number	10/737,195						
Filing Date	December 15, 2003						
First Named Inventor	WANG, YULUN						
Art Unit	3739						
Examiner Name	Unassigned						
Attorney Docket Number	022001-000843US						

AP .		Document Number	U.S. PATENT DO		
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	977,825	12-06-1910	Murphy	
•	AB	3,171,549	03-02-1965	Orloff	
	AC	3,280,991	10-25-1966	Melton et al.	
	AD	4,058,001	11-15-1977	Waxman	
	AE	4,128,880	12-05-1978	Cray, Jr.	
-	AF	4,221,997	09-09-1980	Flemming	
	AG	4,401,852	08-30-1983	Noso et al.	
	AH	4,456,961	06-26-1984	Price et al.	
	Al	4,460,302	07-17-1984	Moreau et al.	
	AJ	4,474,174	10-02-1984	Petruzzi	
	AK	4,491,135	01-01-1985	Klein	
	AL	4,503,854	03-12-1985	Jako	
	AM	4,517,963	05-21-1985	Michel	
	AN	4,523,884	06-18-1985	Clement et al.	
	AO	4,586,398	05-06-1986	Yindra	•
	AP	4,604,016	08-05-1986	Joyce	
	AQ	4,616,637	10-14-1986	Caspari et al.	
	AR	4,624,011	11-18-1986	Watanabe et al.	
	AS	4,633,389	12-30-1986	Tanaka et al.	
	AT	4,635,292	01-06-1987	Mori et al.	
	AU	4,641,292	02-03-1987	Tunnell et al.	
	AV	4,655,257	04-07-1987	Iwashita	
	AW	4,672,963	06-16-1987	Barken	
	AX	4,676,243	06-30-1987	Clayman	
	AY	4,728,974	03-01-1988	Nio et al.	
	AZ	4,762,455	08-09-1988	Coughlan et al.	
	BA	4.791,934	12-20-1988	Brunnett	
	ВВ	4,791,940	12-20-1988	Hirschfeld et al.	
	ВС	4,794,912	01-03-1989	Lia	
	BD	4,815,006	03-21-1989	Andersson et al.	
	BE	4,815,450	03-28-1989	Patel	
	BF	4,852,083	07-25-1989	Niehaus et al.	
	BG	4,853,874	08-01-1989	Iwamoto et al.	
	BH	4,854,301	08-08-1989	Nakajima	
	BI	4,860,215	08-22-1989	Seraji	
	BJ	4,863,133	09-05-1989	Bonnell	
	BK	4,883,400	11-28-1989	Kuban et al.	
	BL	4,930,494	06-05-1990	Takehana et al.	
	BM	4,945,479	07-31-1990	Rusterholz et al.	
	BN	4,949,717	08-21-1990	Shaw	
	BO	4,965,417	10-23-1990	Massie	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

Considered

Substitut	te for form 1449A/PT	o			Complete if Known	
•				Application Number	10/737,195	
INFO	DRMATION	DIS	SCLOSURE	Filing Date	December 15, 2003	
STA	STATEMENT BY APPLICANT		First Named Inventor	WANG, YULUN		
				Art Unit	3739	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	2	of	8	Attorney Docket Number	022001-000843US	

		Document Number				
Examiner . Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of C	Patentee or ited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BP	4,969,709	11-13-1990	Sogaw	a et al.	
	BQ	4,969,890	11-13-1990	Sugita	a et al.	
	BR	4,979,933	12-25-1990	_	nge	
	BS	4,989,253	01-29-1991		et al.	
	вт	4,996,975	03-05-1991	Naka	ımura	
	· BU	5,019,968	05-28-1991	Wang	g et al.	
	в٧	5,020,001	05-28-1991		oto et al.	
	BW	5,065,741	11-19-1991	Uchiyar	na et al.	
	вх	5,078,140	01-07-1992	Kw	voh	
	BY	5,086,401	02-04-1992	Glassm	an et al.	
	BZ	5,091,656	02-25-1992	Ga	thn	
	CA	5,097,829	03-24-1992		nberry	
	СВ	5,097,839	03-24-1992	All All	len	
	CC	5,098,426	03-24-1992	Sklar	et al.	
	CD	5,105,367	04-14-1992	Tsuchiha	ashi et al.	
	CE	5,109,499	04-28-1992	Inagan	ni et al.	
	CF	5,123,095	06-16-1992	Papadop	ulos et al.	
	CG	5,131,105	07-21-1992	Harrawo	ood et al.	
	СН	5,142,930	09-01-1992	Allen	et al.	
	CI	5,145,227	09-08-1992	Monford, Jr.		
	CJ	5,166,513	11-24-1992	Keenan et al.		
	СК	5,175,694	12-29-1992	Amato		
	CL	5,182,641	01-26-1993	Diner	et al.	
	СМ	5,184,601	02-09-1993	Puti	man	
	CN	5,187,574	02-16-1993	Kosemu	ıra et al.	
	co	5,196,688	03-23-1993	Hesse	et al.	
	CP	5,201,325	04-13-1993	McEwe	en et al.	
•	cq	5,201,743	04-13-1993	Habei	r et al.	
	CR	5,217,003	06-08-1993	W	'ilk	
	CS	5,221,283	06-22-1993	Cha	ang	
	CT	5,228,429	07-20-1993		ano	
	CU	5,230,623	07-27-1993	Guthri	e et al.	
	CV	5,236,432	08-17-1993	Matsen,	III et al.	
	cw	5,251,127	10-05-1993	Ra		
	СХ	5,257,999	11-02-1993	Slane	tz, Jr.	
	CY	5,271,384	12-21-1993	McEwe		
	CZ	5,279,309	01-18-1994	Taylo	r et al.	
	DA	5,282,806	02-01-1994		ber	•
	DB	5,289,273		La	ng	
	DC			Caldwe		
	DD	5,299,288	03-29-1994	Glassm	an et al.	

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Substitu	te for form 1449A/P1	го		Complete if Known			
			,	Application Number	10/737,195		
INFO	DRMATION	1 DI	SCLOSURE	Filing Date	December 15, 2003		
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	WANG, YULUN		
		•		Art Unit	3739		
	(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet	3	of	8	Attorney Docket Number	022001-000843US		

		Dogwood Niverbas	U.S. PATENT DO	1		
Examiner Initials*	Cite No.	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of C	Patentee or lited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	DE	5,300,926	04-05-1994	Sto	eckl	
	DF	5,303,148	04-12-1994	Mattso	n et al.	
	DG	5,304,185	04-19-1994	Tay	ylor ·	
	DH	5,305,203	04-19-1994	Ra	ab	
	DI	5,305,427	04-19-1994	Nag	gata	
	DJ	5,309,717	05-10-1994	Mir	nch	
	DK	5,313,306	05-17-1994	Kubar	n et al.	
	DL	5,320,630	06-14-1994	Ahr	ned	
	DM	5,337,732	08-16-1994	Grundfe	est et al.	
	DN	5,339,799	08-23-1994	Kami	et al.	
	DO	5,343,385	08-30-1994	Joskowi	icz et al.	
	DP	5,343,391	08-30-1994	Mush	nabac	
	DQ	5,345,538	09-06-1994	Narayanı	nan et al.	
	DR	5,368,015	11-29-1994		ʻilk	
	DS	5,368,428	11-29-1994	Husse	y et al.	
	DT	5,371,536	12-06-1994	Yama	aguchi	
	DU	5.388.987	02-14-1995		z et al.	
	DV	5,395,369	03-07-1995	McBray	er et al.	
	DW	5,402,801	04-04-1995		ylor	
	DX	5,403,319	04-04-1995		, III et al.	
	DY	5,408,409	04-18-1995		an et al.	
	DZ	5,410,638	04-25-1995		e et al.	-
	EA	5,417,210	05-23-1995		a et al.	
	EB	5,417,701	05-23-1995	Holi	mes	
	EC	5,422,521	06-06-1995	Neer		
	ED	5,431,645	07-11-1995		et al.	
	EE	5,434,457	07-18-1995		ns et al.	
	EF	5,442,728	08-15-1995		an et al.	
	EG	5,445,166	08-29-1995		ylor	
	EH	5,451,924	09-19-1995		ino et al.	
	EI	5,455,766	10-03-1995		er et al.	
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	EK	5,476,010	12-19-1995	Flemin		
	EL	5,490,117	02-06-1996	Oda		
	EM	5,506,912	04-09-1996		aki et al.	
•	EN	5,512,919	04-30-1996		aki	
	EO	5,544,654	08-13-1996		y et al.	
	EP	5,562,503	10-08-1996	Ellmai		
	EQ	5,571,110	11-05-1996		III et al.	
	ER	5,572,999	11-12-1996		a et al.	
	ES	5,609,560	03-11-1997		va et al.	
Examiner Signature		3,003,300	1 00-11-1991	Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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60310137 v1

Substitute for form 1449A/PTO		Complete if Known			
	Application Number	10/737,195			
INFORMATION DISCLOSURE	Filing Date	December 15, 2003			
STATEMENT BY APPLICANT	First Named Inventor	WANG, YULUN			
	Art Unit	3739			
(use as many sheets as necessary)	Examiner Name	Unassigned			
Sheet 4 of 8	Attorney Docket Number	022001-000843US			

U.S. PATENT DOCUMENTS+									
		Document Number	Publication Date	Nome of 5	Patentee or	Pages, Columns, Lines, Where			
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	MM-DD-YYYY		ited Document	Relevant Passages or Relevant Figures Appear			
	ET	5,626,595	05-06-1997	Sklar	et al.				
	EU	5,629,594	05-13-1997	Jacobu	ıs et al.				
	EV	5,630,431	05-20-1997	Tay	ylor				
	EW	5,631,973	05-20-1997	Gre	een				
	EX	5,636,259	06-03-1997	Khutoryar	nsky et al.				
	EY	5,657,429	08-12-1997	Wang	et al.				
	EZ	5,658,250	08-19-1997	Blomqu	ist et al.				
	FA	5,676,673	10-14-1997	Ferre	et al.				
	FB	5,695,500	12-09-1997	Taylo	r et al.				
	FC	5,696,574	12-09-1997	Schwa	egerle	•			
	FD	5,696,837	12-09-1997	Gre	een				
	FE	5,718,038	02-17-1998	Takia	r et al.				
	FF	5,737,711	04-07-1998	· Al	be				
	FG	5,749,362	05-12-1998	Funda	a et al.				
- "	FH	5,754,741	05-19-1998	Wang	et al.				
	FI	5,766,126	06-16-1998	Ande	erson				
	FJ	5,779,623	07-14-1998	Bon	nnell				
	FK	5,800,423	09-01-1998	Jen	sen				
	FL	5,807,284	09-15-1998	Fo	xlin [.]				
	FM	5,807,378	09-15-1998	Jensei	n et al.				
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	FP	5,813,813	09-29-1998	Daum	et al.				
	FQ	5,814,038	09-29-1998	Jensei	n et al.				
	FR	5,817,084	10-06-1998	Jen	sen				
	FS	5,825,982	10-20-1998	Wrigh	t et al.				
	FT	5,836,869	11-17-1998	Kudo	et al.				
	FU	5,844,824	12-01-1998	Newma	an et al.				
	FV	5,855,583	01-05-1999	Wang	et al.				
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	FY	5,876,325	03-02-1999	Mizune	o et al.				
	FZ	5,878,193	03-02-1999	Wang	et al.				
	GA	5,882,206	03-16-1999	Gi	Ilio				
	GB	5,887,121	03-23-1999	Funda	a et al.				
	GC	5,931,832	08-03-1999	Jen	sen				
	GD	5,950,629	09-14-1999		r et al.				
	GE	6,024,695	02-15-2000		r et al.				
	GF	6,102,850	08-15-2000		et al.	•			
	GG	2002/0140665	10-03-2002		don				
						•			
Examiner				Date					

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Substitu	ite for form 1449A/PT	0	 		Complete if Known
				Application Number	10/737,195
INFO	ORMATION	DIS	SCLOSURE	Filing Date	December 15, 2003
STA	TEMENT E	SY A	PPLICANT	First Named Inventor	WANG, YULUN
				Art Unit	3739
	(use as many sheets as necessary)			Examiner Name	Unassigned
Sheet	5	of	8	Attorney Docket Number	022001-000843US

		<u> </u>		FOREIGN PA	TENT DOCUME	NTS		
Examiner Initials*	Cite		Foreign Patent Do	cument		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
	No.1	Country Code ³	Number⁴	Kind Code ⁸ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ₆
	GH	DE	92 04 118.3		07-02-1992			
	GI	EP	0239409	A1	09-30-1987			
	GJ	EP	0424687	A1	05-02-1991			
	GK	EP	A-0494943		Aug., 1995			
	GL	wo	91/04711		04-18-1991			
	GM	wo	92/20295		11-26-1992			
	GN	WÒ	93/13916		07-22-1993			
	GO	wo	94/18881		09-01-1994			
	GP	wo	94/26167		11-24-1994			
	GQ	wo	97/15240		05-01-1997			
	GR	wo	98/25666		06-18-1998			
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Examiner	Date
Signature	Considered

Substitute for form 1449B/PTO				Complete if Known		
13150	INFORMATION DISCLOSURE			Application Number	10/737,195	
				Filing Date	December 15, 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	WANG, YULUN	
	•			Art Unit	3739	
(L	ise as many she	eets as	s necessary)	Examiner Name	Unassigned	
Sheet	6	of	8	Attorney Docket Number	022001-000843US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	GS	ABSTRACT of a presentation "3-D Vision Technology Applied to Advanced Minimally Invasive Surgery Systems" given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, (18 to 20 June 1992), entitled "Session 15/3" (1 page total).	
	GT	ABSTRACT of a presentation "A Pneumatic Controlled Sewing Device for Endoscopic Application the MIS Sewing Instrument MSI" given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992 (1 page total).	
	GU	ABSTRACT of a presentation "Concept and Experimental Application of a Surgical Robotic System the Steerable MIS Instrument SMI" given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992 (1 page total).	
	GV	ABSTRACT of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux (18 to 20 June 1992), entitled "Session 15/2" (1 page total).	
	GW	ABSTRACT of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux (18 to 20 June 1992), entitled "Session 15/5" (1 page total).	
,	GX	ABSTRACT of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux (18 to 20 June 1992), entitled "Session 15/4" (1 page total).	
	GY	ABSTRACT of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, (18 to 20 June 1992), entitled "Session 15/1" (1 page total).	
	GZ	BEJCZY, "Controlling Remote Manipulators through Kinesthetic Coupling," Computers in Mechanical Engineering 1983, pp. 48-60.	
	НА	BESANT et al., Abstract of a presentation "Camera Control for Laparoscopic Surgery by Speech-Recognizing Robot: Constant Attention and Better Use of Personnel," given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992 (1 page total).	
	НВ	CHARLES et al., "Design of a Surgeon-Machine Interface for Teleoperated Microsurgery," IEEE 1989 (3 pages total).	
	нс	COLGATE, "Power and Impedance Scaling in Bilateral Manipulation," IEEE, 1991, pp. 2292-2297.	
	HD	CORCORAN, "Robots for the Operating Room," The New York Times, Sunday July 19, 1992, Section 3, Page 9, Column 1 (2 pages total).	
	HE	DAS et al., "Kinematic Control and Visual Display of Redundant Teleoperators," IEEE 1989 pp. 1072-1077.	
	HF	DOLAN et al., "A Robot in an Operating Room: A Bull in a China Shop," IEEE, 1987, pp. 1096-1097.	
	HG	GAYED et al., "An Advanced Control Micromanipulator for Surgical Applications," Systems Science Vol. 13, 1987, pp. 23-34.	

Examiner	Date Considered	
Signature	 Considered	

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Substitute for form 1449B/PTO Complete if Known 10/737,195 Application Number INFORMATION DISCLOSURE December 15, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor WANG, YULUN 3739 Art Unit (use as many sheets as necessary) Unassigned Examiner Name Sheet Attorney Docket Number 022001-000843US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ,
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	HN	KAZEROONI, "Human/Robot Interaction via the Transfer of Power and Information Signals - Part I: Dynamics and Control Analysis," IEEE, 1989, pp. 1632-1640.	
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	HR	MAIR, Industrial Robotics, Prentice Hall, 1988, pp. 41-43, 49-50, 54, 203-209.	
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	HV	TAUBES, "Surgery in Cyberspace," Discover Magazine, December 1994, pp. 85-92.	
	HW	TAYLOR et al., "Taming the Bull: Safety in a Precise Surgical Robot," IEEE, 1991, pp. 865-871.	

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MEGE	SALATION	DIO	OL OCUPE	Application Number	10/737,195
			CLOSURE	Filing Date	December 15, 2003
STAT	EMENT B	Y A	PPLICANT	First Named Inventor	WANG, YULUN
				Art Unit	3739
(u	se as many she	ets as	necessary)	Examiner Name	Unassigned
Sheet	8	of	8	Attorney Docket Number	022001-000843US

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	нх	TEJIMA, "A New Microsurgical Robot System for Corneal Transplantation," Precision Machinery, 1988 vol. 2, pp. 1-9.	_
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